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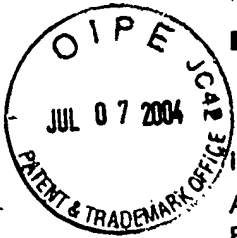
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Practitioner's Docket No. 014033-000022C

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: BURL SHANNON HINKLE ET AL.

Application No.: 10/825,533

Group No.

Filed: April 15, 2004

Examiner:

For: METHOD AND APPARATUS FOR MODELING A BUSINESS PROCESS TO FACILITATE
EVALUATION OF DRIVING METRICS

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450, Alexandria, VA 22313-1450

**PETITION TO ESTABLISH PRIOR RECEIPT IN THE P.T.O. OF ITEM(S)
CONSIDERED AS OMITTED BY THE P.T.O.—RESPONSE TO**

☒ **"NOTICE OF OMITTED ITEMS"**

☐ **"NOTICE OF INCOMPLETE APPLICATION"**

NONPROVISIONAL APPLICATION

NOTE: "The Office of Initial Patent Examination (OIPE) reviews application papers to determine whether all of the pages of specification are present in the application. If the application is filed without all of the page(s) of the specification, but containing something that can be construed as a written description, at least one drawing figure, if necessary under 35 U.S.C. 113 (first sentence), and, in a nonprovisional application, at least one claim, OIPE will mail a "Notice of Omitted Items" indicating that the application papers so deposited have been accorded a filing date, but are lacking some page(s) of the specification." MPEP, § 601.01(d), 7th Edition.

WARNING: This submission must be "within two months of the date of the 'Notice of Omitted Items' (37 C.F.R. 1.181(f))." Notice of June 5, 1996, 61 Fed. Reg. 30,041, 30,041 (Jun. 5, 1996). See also § 601.01(d), MPEP, 7th Edition. "As an applicant may, but is not required, to reply to such a 'Notice of Omitted Items,' extensions of time under 37 CFR 1.136 will *not* be applicable to this 2-month period." (emphasis in original).

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Michael Demio

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[Signature]

Signature of person certifying

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(Petition to Establish Prior Receipt in the P.T.O. of Item(s) Considered as Omitted by the P.T.O.—Response to

☐ "Notice of Omitted Items" ☐ "Notice of Incomplete Application"—Nonprovisional Application [5-11]

—page 1 of 4)

NOTE: "While an applicant willing to accept a nonprovisional application as deposited in the PTO need not respond to the 'Notice of Omitted Items,' the filing of an express communication to that effect would permit the PTO to proceed with the processing of the application for examination, and, as such, may reduce the delay in the examination of the application. The failure to file a petition under 37 C.F.R. section § 1.53(c) or 1.182 (and the required petition fee) within 2 months of the 'Notice of Omitted Items' will be treated as a constructive acceptance by applicant of the application as deposited in the P.T.O." Notice of Jun. 5, 1996, 61 Fed. Reg. 30,041, 30,046.; M.P.E.P. § 601.01(d), 7th ed.

NOTE: "An applicant desiring to submit the omitted page(s) in a nonprovisional application and accept the date of such submission as the application filing date must file any omitted page(s) with an oath or declaration in compliance with 37 section 1.63 and 1.64 referring to such page(s) and a petition under 37 section 1.182 (with the petition fee under 37 section 1.17(h)) requesting the later filing date within two months of the date of the 'Notice of Omitted Items' (37 section 1.181(f))." Notice of Jun. 5, 1996, 61 Fed. Reg. 30,041, 30,041. See § 601.01(d), MPEP, 7th Edition.

NOTE: ". . . in view of the relatively low filing fee for provisional applications, and the PTO's desire to minimize the processing of provisional applications, the PTO will not grant petitions under 37 section 1.182 to accept omitted page(s) or drawing(s) and accord an application filing date as of the date of such submission. Instead, the applicant should simply refile the complete provisional application." Notice of Jun. 5, 1996, 61 Fed. Reg. 30,041-30,046, at 30,044. See § 601.01(d), MPEP, 7th Edition.

1. This is in response to the

☒ "NOTICE OF OMITTED ITEMS"

☐ "NOTICE OF INCOMPLETE APPLICATION"

mailed for this application on June 25, 2004

☒ A copy of the

☒ "NOTICE OF OMITTED ITEMS"

☐ "NOTICE OF INCOMPLETE APPLICATION"

is enclosed.

2. Applicant asserts that the items indicated as omitted were in fact deposited with the P.T.O. with the original application papers filed on April 15, 2004.

3. In accordance with the requirements of the Notice of June 5, 1996, 61 Fed. Reg. 30,041-30,046, (see MPEP, § 601.01(d), 7th Edition) applicant hereby:

A. Petitions under 37 section 1.53(e) for a review of the determination that the items in issue were omitted;

B. Submits the petition fee under 37 section 1.17(i) and

C. Submits the attached evidence of the deposit of the items in issue.

4. Fee Payment

☒ Attached is a ☒ check ☐ money order in the amount of \$130.00

☐ Authorization is hereby made to charge the amount of \$130.00

☐ to Deposit Account No. _____

☐ to Credit card as shown on the attached credit card information authorization form PTO-2038

WARNING: Credit card information should not be included on this form as it may become public.

☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

(Petition to Establish Prior Receipt in the P.T.O. of Item(s) Considered as Omitted by the P.T.O.—Response to
☐ "Notice of Omitted Items" ☐ "Notice of Incomplete Application"—Nonprovisional Application [5-11]
—page 2 of 4)

5. Request for Refund For Petition Fee Under 37 CFR 1.53(e)

NOTE: "The petition fee will be refunded if it is determined that the page(s) was in fact received by the PTO with the application papers deposited on filing." MPEP, § 601.01(d), 7th Edition.

It is respectfully requested that, upon grant of the petition under 37 section 1.53(e), the petition fee be refunded by:

- ☒ a refund check
☐ a credit to the charge authorized above

6. Provisional Request for Filing Date As of This Date of Submission of Omitted Items in Issue—Provisional Petition under 37 section 1.182.

In the event that the Petition to Establish Prior Receipt in the P.T.O. of Omitted Items in Issue does not result in a decision by the P.T.O. that the omitted items in issue were indeed received by the P.T.O. on the original filing date for this application, applicant hereby:

- (a) submits the omitted item(s) listed on the
"NOTICE OF OMITTED ITEMS"
☐ "NOTICE OF INCOMPLETE APPLICATION;"
(b) submits a declaration in compliance with 37 section 1.63 and 1.64 referring to such omitted item(s);
(c) petitions under 37 section 1.182 to accept the date of this submission as the application filing date; and
(d) submits the petition fee under 37 section 1.17(h).

7. Petition Fee for Provisional Petition under 37 section 1.182

The fee under 37 section 1.17(h) is paid as follows:

- ☐ Attached is a ☐ check ☐ money order in the amount of \$130.00
☐ Authorization is hereby made to charge the amount of \$130.00
☐ to Deposit Account No. _____
☐ to Credit card as shown on the attached credit card information authorization form PTO-2038

WARNING: Credit card information should *not* be included on this form as it may become public.

- ☐ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

8. Request for Refund of Provisional Petition Fee under 37 section 1.182

Upon grant of the petition under 37 section 1.53(e) and the determination by the P.T.O. that the omitted items in issue were filed with the original papers filed for this application, applicant respectfully requests that the petition fee under 37 section 1.182 be refunded by:

- ☐ a refund check
☐ a credit to the charge authorized above

Reg. No.: 37,911

Tel. No.: (919) 286-8000

Customer No.: 24,239



SIGNATURE OF PRACTITIONER

Steven B. Phillips

(type or print name of practitioner)

2200 West Main Street, Suite 800

P.O. Address

Durham, NC 27705

☒ Plus EVIDENCE OF DEPOSIT OF ITEM(S) WITH APPLICATION INDICATED AS
OMITTED IN THE ☐ "NOTICE OF OMITTED ITEMS" ☐ "NOTICE OF INCOMPLETE
APPLICATION"—NONPROVISIONAL APPLICATION

(Petition to Establish Prior Receipt in the P.T.O. of Item(s) Considered as Omitted by the P.T.O.—Response to
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—page 4 of 4)

In re application of: Burl Shannon Hinkle et al.

Title: METHOD AND APPARATUS FOR MODELING A BUSINESS PROCESS TO FACILITATE
EVALUATION OF DRIVING METRICS

Attorney Docket Number: 014033-000022C

Date Mailed: April 15, 2004

Please confirm receipt of the documents listed below by stamping and depositing
this self-addressed, stamped postcard with the United States Postal Service:

1. Non-Provisional Utility Patent Application Transmittal Form (PTO/SB/05),
2. Fee Transmittal Form (PTO/SB/17)
3. 32 Pages of Specification, including 40 claims, Abstract, 10 sheets of drawings(Figs. 1-8)
4. Declaration (2 pg.)
5. Transmittal Letter Accompanying Compact Disc, 2 CDs
6. Information Disclosure Statement
7. Non-Publication Request
8. Check in the amount of \$1,216.00
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